PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

ROC01-002P Attorney Docket No. Melissa Rockenbach First Inventor Device and Method for Confectionary Disp Title

Express Mail Label No (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2. **X** See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) 15 Computer Readable Form (CRF) 3. **X** Specification Sequence Listing on: - Descriptive title of the invention - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description Power of 37 CFR 3.73(b) Statement - Claim(s) Attorney - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Citations 4. **X** Information Disclosure [Total Pages Statement (IDS)/PTO-1449 5. Oath or Declaration Preliminary Amendment Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) h Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No. _ Continuation Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label Name Zip Code State City Fax Telephone Country 43,333 Milord Keshishzadeh, Esa Registration No. (Attorney/Agent) Name (Print/Type)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Date

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.00

Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Melissa Rockenbach			
Examiner Name				
Group Art Unit				
Attorney Docket No.	ROC01-002P			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to: Deposit	Large Small Entity Entity				
Account Number	Fee Fee Fee Fee Description Fee Pa				
Deposit Deposit	Code (\$) Code (\$) 105 130 205 65 Surcharge - late filing fee or oath				
Account Name					
Charge Any Additional Fee Required	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Under 37 CFR 1 16 and 1.17	139 130 139 130 Non-English specification				
Applicant claims small entity status. See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. A Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to				
Credit card Money Other	Examiner action				
	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCOLATION	115 110 215 55 Extension for reply within first month				
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month				
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	117 890 217 445 Extension for reply within third month				
Code (\$) Code (\$)	118 1,390 218 695 Extension for reply within fourth month				
101 710 201 355 Utility filing fee 355	128 1,890 228 945 Extension for reply within fifth month				
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal				
Delegan filling foo	120 310 220 155 Filing a brief in support of an appeal				
	121 270 221 135 Request for oral hearing				
•	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 355	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional				
Extra Claims below Fee Paic	15				
Total Claims 20 -20** = 0 x = 0	143 440 243 220 Design issue fee				
Independent 3 - 3** = U X = U	144 600 244 300 Plant issue fee				
Multiple Dependent	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt				
Fee Fee Fee Fee Description	Describes each nation organization ner				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
to describe the property of 3	146 710 246 355 Filling a submission after final rejection				
102 80 202 40 Independent claims in excess of 3	(37 ČFR § 1.129(a))				
109 80 209 40 ** Reissue independent claims	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))				
over original patent	179 710 279 355 Request for Continued Examination (RCE)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination				
	of a design application				
SUBTOTAL (2) (\$) ()	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0				
of number previously paid, it greater, i or reserves, our exercise					

Name (Print/Type) MIIIOTO KESNISNZQOETI (Attorney/Agent) 17/2/0/				Complete (if applicable)			
				43,333	Telephone		
Signature Mulad SSI Date 7/2/0/			(Altorney/Agenty		Date	7/2/01	

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EXPRESS MAIL CERTIFICATE OF MAILING

I hereby certify that this Utility Patent Application for Melissa Rockenbach for "Device and Method for Confectionary Display," 15 pages, and this Utility Application Transmittal Papers and the documents referred to as enclosed herein are being deposited with the United States Postal Service on <u>July 2, 2001</u>, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number <u>EE506246875US</u> and is addressed to: "Assistant Commissioner of Patents, Box Patent Application, Washington DC 20231."

Carla Casillas			
NAME OF PERS	SON M.	AILING	PAPER

SIGNATURE OF PERSON MAILING PAPER

Enclosures:

- 1. Utility Patent Application
- 2. 4 Drawing Sheets (3 cc)
- 3. Utility Patent Application Transmittal Forms
- 4. Filing Fee Check # 1662 for \$355.00
- 5. Certificate of Mailing (2 cc)
- 6. Copies of the references cited in IDS
- 7. Acknowledgment postcard